


| | | |
|---|--|---|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | 01013.0327.PCUS00 |
| | | U.S. APPLICATION NO. (if known) 10/538919 |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/014159 | INTERNATIONAL FILING DATE 12 December 2003 | PRIORITY DATE CLAIMED 13 December 2002 |
| TITLE OF INVENTION Wheel Set Guidance Assembly | | |
| APPLICANT(S) FOR DO/EO/US MULLER, Detlef; KAMPMANN, Gerhard; BIEKER, Guido | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An Oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 36 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information. A copy of the published international application no. WO 2004/054865, including the search report, a copy of the international report on patentability, written opinion and search report. | | |

| | | | | | |
|--|--------------|-------------------------------|-------------|---------------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/538919 | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NO. | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: a) BASIC NATIONAL FEE \$300.00 b) EXAMINATION FEE \$200.00 c) SEARCH FEE \$500.00 d) INTERNATIONAL SEARCH REPORT PREPARED AND PROVIDED TO THE OFFICE \$400.00 <div style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</div> | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$900 | |
| | | | | | |
| | | | | | |
| | | | | | |
| Surcharge of \$130.00 for furnishing the Oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | - 20 = | | X \$ 50.00 | \$ | |
| Independent claims | - 3 = | | X \$ 200.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | X \$ 360.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$900 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | \$ | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$900 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$900 | |
| Amount to be refunded: | | | | \$ | |
| charged: | | | | \$ | |
| a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 08-3038 referencing the docket number shown above in the amount of \$900 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> Any extension of time that may be deemed necessary for this application is hereby requested. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 08-3038 referencing the docket number shown above. A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Customer No. 32894 Howrey Simon Arnold & White 2941 Fairview Park Drive, Suite 200 Falls Church, VA 22042 Fax: 202-383-7195 </div> <div style="width: 45%;"> SIGNATURE:  NAME: <u>David P. Owen</u> REGISTRATION NUMBER: <u>43,344</u> TELEPHONE NUMBER: <u>9 011 31 20 592 4411</u> </div> </div> | | | | | |